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PTO/SB/21 (02-04)

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|   |                        |                        |
|---|------------------------|------------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 10/813,256             |
|   | Filing Date            | 03/30/2004             |
|   | First Named Inventor   | Toshifumi Satou et al. |
|   | Art Unit               | 3682                   |
|   | Examiner Name          |                        |
| Total Number of Pages in This Submission  | Attorney Docket Number | TWA101USA              |

| ENCLOSURES (Check all that apply)  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                      |
|--|----------------------|
| Firm or Individual name                    | George A. Smith, Jr. |
| Signature                                  |                      |
| Date                                       | 07/02/04             |

| CERTIFICATE OF TRANSMISSION/MAILING   |                      |      |          |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                      |      |          |
| Typed or printed name   | George A. Smith, Jr. |      |          |
| Signature   |                      | Date | 07/02/04 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TWA101USA

In re the Application of )  
)  
Toshifumi Satou et al. )  
)  
Serial No. 10/813,256 )  
)  
Filed: 03/30/2004 )  
)  
For: LINK PLATE FOR ROLLER )  
CHAIN AND METHOD OF )  
MANUFACTURING SAME )

Examiner:

Group Art Unit: 3682

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

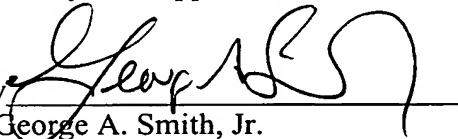
INFORMATION DISCLOSURE STATEMENT

Sir:

We enclose form PTO/SB/08A and a copy of U.S. patent application, serial number, 10/818,149. Also enclosed is Japanese Patent Publication No. 2002-028749, along with an English language abstract.

Japanese Patent Publication No. 2002-028749 is discussed in paragraph 0002 on page 1 of the above mentioned application.

Respectfully submitted,  
HOWSON AND HOWSON  
Attorneys for Applicant

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/813,256             |
| Filing Date            | 03/30/04               |
| First Named Inventor   | Toshifumi Satou et al. |
| Group Art Unit         | 3682                   |
| Examiner Name          |                        |
| Attorney Docket Number | TWA101USA              |

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of<br>Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|---|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |   |   |
|                       |                          | US                                       |                                |   |   |
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**FOREIGN PATENT DOCUMENTS**

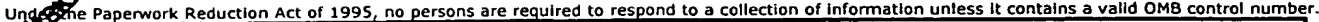
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of<br>Patentee or<br>Applicant of<br>Cited Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|--------------------------------|--|--|----------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> -Kind Code <sup>5</sup> (if<br>known) |                                |  |  |                |
|                       |                          | JP 2002-028749  | 01/29/2002                     | Borg<br>Warner<br>Automotive<br>KK                       |  |                |
|                       |                          |   |                                |  |  |                |
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|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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| Attorney Docket Number | TWA101USA              |

*(Use as many sheets as necessary)*

|       |   |    |   |
|-------|---|----|---|
| Sheet | 2 | of | 2 |
|-------|---|----|---|

## NONPATENT LITERATURE DOCUMENTS

[illegible]

|                       |  |                    |  |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

\* Applicant's unique citation designation number (optional). \* Applicant is to place a check mark here if English language is not spoken. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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